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Harry  
PATENT  
1377-0156P  
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1-8-01  
DEC 21 2000

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Thomas V. MCCARTHY

Appl. No.: 09/673,739

Group:

Filed: October 20, 2000

Examiner: UNASSIGNED

For: A METHOD FOR THE CHARACTERISATION OF  
NUCLEIC ACID MOLECULES INVOLVING  
GENERATION OF EXTENDIBLE UPSTREAM DNA  
FRAGMENTS RESULTING FROM THE CLEAVAGE OF  
NUCLEIC ACID AT AN ABASIC SITE

L E T T E R

Assistant Commissioner for Patents  
Washington, D.C. 20231

December 19, 2000

Sir:

Enclosed herewith is a Statement (Declaration) Claiming  
Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d)) - Non-  
Profit Organization (☒ Original ☐ Photocopy) which should be  
made part of the record of the present application.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Margaret Armstrong 1656  
Gerald M. Murphy, Jr., #28,977

GMM/MAA/csp  
1377-0156P

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachment

DEP & REF  
RT # 307



PATENT  
1377-0156P

U.S. PATENT AND TRADEMARK OFFICE

#7/Refund  
Ry

Applicant: Thomas V. MCCARTHY et al.  
Appl. No.: 09/673,739 Group: Unassigned  
Filed: October 20, 2000 Examiner: UNASSIGNED  
For: A METHOD FOR THE CHARACTERISATION OF NUCLEIC  
ACID MOLECULES INVOLVING GENERATION OF  
EXTENDIBLE UPSTREAM DNA FRAGMENTS RESULTING  
FROM THE CLEAVAGE OF NUCLEIC ACID AT AN  
ABASIC SITE

Assistant Commissioner for Patents  
Washington, DC 20231

December 19, 2000

ATTN: W.P. ERWIN, DIRECTOR OF FINANCE  
REFUND SECTION  
ACCOUNTING DIVISION  
OFFICE OF FINANCE

REQUEST FOR REFUND  
(Improper Charge of Deposit Account)

I. REFUND REQUEST

This is a request for a refund with respect to the filing fee  
submitted on October 20, 2000 for the above-identified

- ☒ application  
☐ patent

A copy of the monthly statement in which the error referred  
to occurs, accompanies this request.

OFFICE OF FINANCE  
REFUND SECTION

200 DEC 27 AM 10:12

US PATENT & TRADEMARK  
OFFICE

II. FEES SUBMITTED FOR WHICH REFUND REQUESTED

	<u>AMOUNT OF REFUND REQUESTED</u>
<input checked="" type="checkbox"/> filing fee	<u>430.00</u>
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	
<input type="checkbox"/> second month	
<input type="checkbox"/> third month	
<input type="checkbox"/> fourth month	
<input checked="" type="checkbox"/> excess claims	<u>27.00</u>
<input type="checkbox"/> issue fee	
<input type="checkbox"/> petition fee	
<input type="checkbox"/> patent maintenance fee	
<input type="checkbox"/> first maintenance fee	
<input type="checkbox"/> second maintenance fee	
<input type="checkbox"/> third maintenance fee	
<input type="checkbox"/> patent maintenance fee surcharge	
<input type="checkbox"/> Other: _____	
 _____	
TOTAL REFUND REQUESTED	<u><b>\$457.00</b></u>

III. EXPLANATION OF WHY REFUND IS REQUESTED

The applicant qualifies as a small entity. A copy of the verified small entity status form is submitted herewith.

IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By ~~Murphy~~ (6 No 40, 069)  
Gerald M. Murphy, Jr., #28,977

GMM/MAA/csp  
1377-0156P

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Falls Church, VA 22040-0747  
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(Rev. 04/19/2000)



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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Thomas V. MCCARTHY  
Appl. No.: 09/673,739 Group: Unassigned  
Filed: October 20, 2000 Examiner: UNASSIGNED

For: A METHOD FOR THE CHARACTERISATION OF  
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GENERATION OF EXTENDIBLE UPSTREAM DNA  
FRAGMENTS RESULTING FROM THE CLEAVAGE OF  
NUCLEIC ACID AT AN ABASIC SITE

L E T T E R

Assistant Commissioner for Patents  
Washington, D.C. 20231

December 19, 2000

Sir:

Enclosed herewith is a copy of Form PCT/IB/306 which states that the applicant on the PCT International application, "University College Cork", has changed their name to "University College Cork, National University of Ireland, Cork". Applicants request that the attached document be made of record in the above-identified application.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Gerald M. Murphy, Jr. (U.S. No. 40,069)  
Gerald M. Murphy, Jr., #28,977

GMM/MAA/csp  
1377-0156P  
Attachment

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Falls Church, VA 22040-0747  
(703) 205-8000

## PATENT COOPERATION TREATY

PCT/IE98/00030

RECEIVED

DEC 21 2000

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

ANNE RYAN & CO.  
60 Northumberland Road  
Ballsbridge  
Dublin 4  
IRLANDE

TECH CENTER 1600/2300



Date of mailing (day/month/year) 17 October 2000 (17.10.00)	
Applicant's or agent's file reference P98-50-PCT	IMPORTANT NOTIFICATION
International application No. PCT/IE98/00030	International filing date (day/month/year) 22 April 1998 (22.04.98)

## 1. The following indications appeared on record concerning:

☒ the applicant ☐ the inventor ☐ the agent ☐ the common representative

Name and Address UNIVERSITY COLLEGE CORK College Road Cork Ireland	State of Nationality IE	State of Residence IE
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person ☒ the name ☐ the address ☐ the nationality ☐ the residence

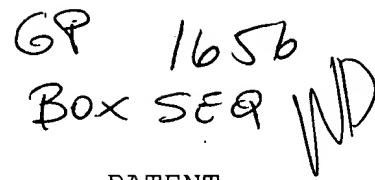
Name and Address UNIVERSITY COLLEGE CORK, NATIONAL UNIVERSITY OF IRELAND, CORK College Road Cork Ireland	State of Nationality IE	State of Residence IE
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  R. Raissi  Telephone No.: (41-22) 336.83.38
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	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	23	-	23	=	0	\$ 9	\$0.00
INDEPENDENT	1	-	3	=	0	\$ 40	\$0.00
_____ FIRST PRESENTATION OF A MULTIPLE CLAIM						\$135	\$0.00
						TOTAL	\$0.00

- ☐ Petition for ( ) month(s) extension of time pursuant to 37 C.F.R. §§ 1.17 and 1.136(a). \$0.00 for the extension of time.
- ☒ No fee is required.
- ☐ A check in the amount of \$0.00 is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. This form is submitted in triplicate.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Gerald M. Murphy, Jr. (09/28/09)  
Gerald M. Murphy, Jr., #28,977

GMM/MAA/csp  
1377-0156P

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Attachment

(REV. 09/29/2000)